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NO. 111 P. 1

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<b>TO:</b>	<b>FACSIMILE:</b>
Central Fax US Patent and Trademark Office	(571) 273-8300

**FROM:** MICHAEL R. WARD  
Reg. No. 38,651

**DATE:** January 19, 2006

Number of pages with cover page:	<b>10</b>	Preparer of this slip has confirmed that facsimile number given is correct: <b>MRW1/8693/lx03</b>

**Comments:**

**Attorney Docket No: 416272004600**

U.S. Patent Application Serial No. 10/526,663 filed 9/3/03 (PCT/US03/27565)  
For: METHODS AND COMPOSITIONS FOR TRANSFORMATION AND  
REGENERATION OF MAIZE

By: Shibo ZHANG et al.

ART UNIT: Not Yet Assigned

EXAMINER: Not Yet Assigned

**DOCUMENTS ATTACHED:**

- 1) Transmittal - 1 pg
- 2) Fee Transmittal IN DUPL - 2 pgs
- 3) COPY of Notice of Missing Requirements - 2 pgs
- 4) DECLARATION (signed) - 4 pgs

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sf-2066065

JAN 19 2006

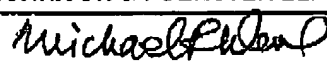
PTO/SB/21 (09-04)

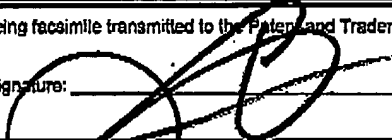
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/526,663	
	Filing Date	September 3, 2003	
	First Named Inventor	Shibo ZHANG	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	10	Attorney Docket Number	416272004600

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form - 2 pgs <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement (Supplemental, 3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. COPY of Notice of Missing Requirements - 2 pgs 2. DECLARATION (signed) - 4 pgs 3. Fax Cover Sheet - 1 pg
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No. 20872)		
Signature			
Printed name	Michael R. Ward		
Date	January 19, 2006	Reg. No.	38,651

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office at FAX NO: (571) 273-8300 on the date shown below.	
Dated: January 19, 2006	Signature:  (LILIA OLSEN)

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Page 1 of 2



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/526.663	Shibo Zhang	416272004600

INTERNATIONAL APPLICATION NO.  
PCT/US03/27565

LA. FILING DATE	PRIORITY DATE
09/03/2003	09/03/2002

20872  
MORRISON & FOERSTER LLP  
425 MARKET STREET  
SAN FRANCISCO, CA 94105-2482

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471

**CONFIRMATION NO. 4218**

### 371 FORMALITIES LETTER

\*9C000000017510031\*

**Date Mailed: 11/30/2005**

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/02/2005
- Copy of the International Search Report filed on 03/02/2005
- Preliminary Amendments filed on 03/02/2005
- Information Disclosure Statements filed on 03/02/2005
- Biochemical Sequence Diskette filed on 03/02/2005
- Oath or Declaration filed on 03/02/2005
- Biochemical Sequence Listing filed on 03/02/2005
- Request for Immediate Examination filed on 03/02/2005
- U.S. Basic National Fees filed on 03/02/2005
- Priority Documents filed on 03/02/2005

**The applicant needs to satisfy supplemental fees problems indicated below.**

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventor, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Miss. Meyer  
7.35.06  
6.30.06-F

**BEST AVAILABLE COPY**

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,663	PCT/US03/27565	416272004600

FORM PCT/DO/EO/905 (371 Formalities Notice)

JAN. 19. 2006 5:26PM

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JAN 19 2006 Rec'd PCT/PTO 11 9 JAN 2006

PTO/SB17 (12-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<p>Effective on 12/08/2004, Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</p> <h2 style="text-align: center;">FEE TRANSMITTAL for FY 2005</h2>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td>10/526,663</td> </tr> <tr> <td>Filing Date</td> <td>September 3, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Shibo ZHANG</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>416272004600</td> </tr> </table>		Application Number	10/526,663	Filing Date	September 3, 2003	First Named Inventor	Shibo ZHANG	Examiner Name	Not Yet Assigned	Art Unit	Not Yet Assigned	Attorney Docket No.	416272004600
Application Number	10/526,663														
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Examiner Name	Not Yet Assigned														
Art Unit	Not Yet Assigned														
Attorney Docket No.	416272004600														
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27															
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>65.00</b>															
<b>METHOD OF PAYMENT</b> (check all that apply)															
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____															
<input checked="" type="checkbox"/> <b>Deposit Account</b> Deposit Account Number: <u>03-1852</u> Deposit Account Name: <u>Morrison &amp; Foerster LLP</u> For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)															
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee															
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments															
<p><small>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</small></p>															
<b>FEE CALCULATION</b>															
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>															
<b>FILING FEES</b>		<b>SEARCH FEES</b>													
<b>EXAMINATION FEES</b>															
Application Type	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)												
Utility	300	150	0												
Design	200	100	0												
Plant	200	100	0												
Reissue	300	150	0												
Provisional	200	100	0												
<b>2. EXCESS CLAIM FEES</b>															
<b>Fee Description</b>		<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>												
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent		50	25												
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent		200	100												
Multiple dependent claims		360	180												
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)												
21	-20 or HP	x	0												
HP + highest number of total claims paid for, if greater than 20															
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)												
5	-3 or HP	x	0												
HP + highest number of independent claims paid for, if greater than 3															
<b>3. APPLICATION SIZE FEE</b>															
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).															
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)												
-100 =	/ 50 =	(round up to a whole number) x	Fee Paid (\$)												
<b>4. OTHER FEE(S)</b>															
Other: 2051 Surcharge - Late Filing Fee Declaration		\$65.00													
<b>SUBMITTED BY: MORRISON &amp; FOERSTER LLP</b>		<b>CUSTOMER NO. 20872</b>													
Signature	<i>Michael R. Ward</i>	Registration No. (Attorney/Agent)	38,651												
Name (Print/Type)	MICHAEL R. WARD	Telephone	415/268-6237												
		Date	January 19, 2006												

sf-2066057